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СТ

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ASSESSMENT AND MITIGATION OF LIQUEFACTION POTENTIAL ACROSS EUROPE

A holistic approach to protect structures / infrastructures for improved resilience to earthquake-induced liquefaction disasters

RISK MAPS OF EARTHQUAKE-INDUCED SOIL LIQUEFACTION FROM CPT AND V_S DATA

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OUTLINE



- Physics of earthquake-induced soil liquefaction



- Microzonation for liquefaction risk: A case study of Cavezzo
 - Geological, geomorphological and hydrogeological framework
 - Investigation campaigns for geotechnical characterization
 - Definition of seismo-stratigraphic and geotechnical models
 - Reference seismic input (bedrock ground motion)
 - Stochastic ground response analysis
 - Introduction of maps for liquefaction risk (CPT and VS-based assessments of liquefaction triggering) \Rightarrow comparison with observed manifestations
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 - Advanced approaches for microzoning liquefaction risk



EuroCode 8 (EC8) definition of liquefaction

4.1.4 Potentially liquefiable soils

(1)P A decrease in the shear strength and/or stiffness caused by the increase in pore water pressures in saturated cohesionless materials during earthquake ground motion, such as to give rise to significant permanent deformations or even to a condition of near-zero effective stress in the soil, shall be hereinafter referred to as liquefaction.





horizontal shaking of bedrock



DILATANCY: volume change due to the application of a shear stress



magnitude of phenomenon depends on INITLAL porosity and confining stress



FRAMEWORK OF CRITICAL STATE SOIL MECHANICS



If subjected to <u>drained</u> shear loading, two samples of sand reconstituted at the SAME <u>confining</u> <u>stress</u>, one initially **loose**, the other initially **dense**, will exhibit a very different stress-strain and volumetric response.

The <u>initially loose</u> sample exhibits a **contracting** (i.e. $\Delta \varepsilon_v < 0$) behaviour whereas the <u>initially</u> <u>dense</u> sample exhibits a **dilatant** behaviour (i.e. $\Delta \varepsilon_v > 0$). At large strains, they will **BOTH** reach the same **CRITICAL STATE**: at which the material deforms at constant volume and the **strength** is characterized by friction angle ϕ'_{cv}



FRAMEWORK OF CRITICAL STATE SOIL MECHANICS



The **critical state line** sets the boundary between **dilatant** and **contractive** drained behaviour. Essential to predict the **drained** and **undrained** soil response is the knowledge of the "initial state" (e, σ) that characterize the situation of soil element

<u>State parameter</u> $\Psi = (e - e_{cr})$ is a measure of the distance of the initial state of the soil element from the CVR and thus of its tendency (in magnitude and sign) to change its volume under drained shear loading (or develop Δu in undrained loading)



Emilia (Northern Italy), May 20, 2012 M6.1 earthquake





Emilia (Northern Italy), May 20, 2012 M6.1 earthquake





Liquefaction phenomena can be divided into *two* main groups:

1) FLOW LIQUEFACTION occurs when the shear stress required for static equilibrium of a soil mass is greater than the shear strength of the soil in its liquefied state. *Flow liquefaction is driven by static shear stresses*

typically yield very large and sudden deformations \Rightarrow flow failures

2) CYCLIC MOBILITY : Deformations develop incrementally during earthquake shaking, soil matrix undergoes series of contraction as well as dilative response, separated by phase transformation state.



(from Yang et al., 2015) (from Kramer, 1996)

deformations driven by both static and cyclic shear stresses \Rightarrow lateral spreading

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San Ferdando (USA), February 9, 1971 M6.6 earthquake



Failed upstream embankment of the Lower San Fernando Dam due to <u>flow liquefaction response</u>



Kaikoura (New Zealand), November 14, 2016 M7.8 earthquake



Lateral spreading at Bleinheim due to cyclic mobility response.



DEFINITION OF RISK FROM NATURAL CATASTROPHES

The most accredited *quantitative* definition of *RISK* from natural disasters is that proposed by UNESCO^(*) in 1972 which establishes that the RISK of a "system" (e.g. structure, slope, etc.) is the *convolution* of 3 *independent* random variables:





WHAT COULD HAPPEN WHEN HIGH HAZARD IS COMBINED WITH EXPOSURE WITH HIGH VULNURABILITY?







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PROJECT LIQUEFACT

Europear



HORIZON 2020 The EU Framework Programme for Research and Innovation



GOAL: development of comprehensive understanding of liquefaction disasters and applications of mitigation techniques (available and in current development) that can be implemented within vulnerable regions to safeguard structures and physical assets.

- Duration: 42 months
 Starting Date: 01/05/2016
- Budget: ~5M€
- Partners: 11
- □ Working Packages (WPs): 9



University of Pavia with EUCENTRE - Technical Lead of the Project

- I eader of WD2 on constion of liquet
- Leader of WP2 on zonation of liquefaction risk





Project website http://www.liquefact.eu/



Liquefact Project - WP2

European Liquefaction Hazard Map (<u>Macrozonation</u>) and Methodology for Localized Assessment of Liquefaction Potential (<u>Microzonation</u>)

Task 2.1 Ground characterization at the four European testing sites 1. <u>Emilia region in Italy</u>

- 2. Lisbon area in Portugal
- 3. Ljubljana area in Slovenia (by the Lower Sava river)
- 4. Marmara region in Turkey

Task 2.2 Collection of geological and seismological data for Europe within a GIS framework

Task 2.3 Construction of a GIS-based catalogue of historical liquefaction occurrences in Europe

Task 2.4 Calculation of European regressions to predict liquefaction occurrence starting from the main seismological information of an earthquake

Task 2.5 Development of a European liquefaction hazard map - Macrozonation

Task 2.6 Validation of the European liquefaction hazard map by detailed analysis at the four testing areas - <u>Microzonation</u>

PROJECT LIQUEFACT



EMILIA REGION, ITALY CAVEZZO MUNICIPALITY



PROJECT LIQUEFACT



EMILIA REGION, ITALY CAVEZZO MUNICIPALITY





INTER-INSTITUTIONAL AGREEMENT FOR MICRO-ZONATION STUDY AT CAVEZZO



SYNERGY BETWEEN THE PARTNERS OF THE AGREEMENT!



A voti unanimi e palesi DELIBERA

- di approvare l'accordo di collaborazione interistituzionale con l'Università di Pavia - Dipartimento di Ingegneria Civile e Architettura ed Eucentre, l'Amministrazione Provinciale di Modena e l'Amministrazione Comunale di Cavezzo finalizzato alla microzonazione sismica per lo scuotimento del suolo e per il rischio liquefazione del Comune di Cavezzo;
- di dare atto che il Responsabile del Servizio Geologico, sismico e dei suoli provvederà alla sottoscrizione dell'accordo di collaborazione inter-istituzionale ai sensi della Deliberazione n. 2416/2008, e che lo stesso avrà la durata di mesi dodici con decorrenza dalla data di stipula;
- di dare atto che il presente accordo non comporta impegni finanziari di ciascun Ente nei confronti dell'altro e che la Regione Emilia-Romagna, l'Università di Pavia -Dipartimento di Ingegneria Civile e Architettura ed Eucentre, l'Amministrazione Provinciale di Modena e l'Amministrazione Comunale di Cavezzo contribuiranno allo svolgimento delle attività previste mettendo a disposizione ognuno le proprie competenze, i dati in proprio possesso e il proprio personale.

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Definition of geological and seismo-tectonic setting: Collection of existing subsoil data and historical earthquakes.



Microzoning territory of Cavezzo for estimating the seismic demand for liquefaction risk calculations



Execution of complementary geotechnical and geophysical investigation campaign to integrate existing documented liquefaction manifestations in



Definition of a numerical **subsoil model** of urban centre by merging information from local geology, geomorphology, hydrogeology, geophysical and geotechnical data



Microzoning territory of Cavezzo for expected ground motion through site response analyses

RP (y)	ag (g)	F0 (-)	Tc* (s)	Mw	0.25
475	0.151	2.588	0.270	6.05	
975	0.202	2.535	0.276	6.21	 → 0.05 → 0.05
2475	0.290	2.436	0.291	6.46	-0.25 Tempo [s]

Definition of **reference seismic input** -> Sets of 7 spectrumcompatible, scaled, real accelerograms recorded on outcropping bedrock conditions and flat topographic surface corresponding to 3 different hazard levels (Tr=475, 975, 2475 years).



GEOLOGICAL, GEOMORPHOLOGICAL, HYDROGEOLOGICAL SETTING



Litho-stratigraphy show alluvial deposits with thickness from 130 m (North) to 280 m (south)



GEOLOGICAL, GEOMORPHOLOGICAL, HYDROGEOLOGICAL SETTING





GEOLOGICAL, GEOMORPHOLOGICAL, HYDROGEOLOGICAL SETTING



INVESTIGATION CAMPAIGNS FOR GROUND CHARACTERIZATION



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INVESTIGATION CAMPAIGNS FOR GROUND CHARACTERIZATION

Starting point - Database Regione Emilia Romagna (RER) – **Jun. 2016**

LIQUEFACT investigation campaigns - Phase 1 Geostudi Astier – **Dec. 2016** Geotecnica Veneta and UNIPV- DSTA (Lab. tests) – **Jan. 2017**

> Collection and digitization of post-2012 earthquakes data (MUDE) – **Jul. 2017**

> > LIQUEFACT investigation campaigns – Phase 2 INGV – **Oct./Nov. 2017** OGS – **Jan./Feb. 2018**

Investigation campaigns funded by Comune di Cavezzo and RER Tecnoin Geosolution and Elletipi (prove Lab.) – Dec. 2017/Jan. 2018

EUCENTRE investigation campaign – Mar. 2018















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DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL



layers.

 \checkmark Both models for 3000+ points are kept in the logic tree.

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1000

0 500 100 S-Wave Velocity (m/s)

250



DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

Geological 3D model



Sedimentary Goolgy wegate





UNIT E: dense sands (Ancient fluvial channel) .

UNIT A: heterogeneous deposits, lithological classes clayey silt and clayey sandy silt (La, Las), with interbedded thin silty sand (SI) layers (Recent alluvial plain)



UNIT B: lithological classes sand (S), silty sand (SI) and sandy silt (Ls) (Fluvial channel).

UNIT C: clay (A) and clay with peat (At), (lacustrine depositional environment).



Materials

DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

Geological 3D model

- IDW interpolation with Cross-sections guide
- 30 Horizon
- Cell size resolution: 100 m
- Vertical resolution 0,5 m
- 30-40 m max. depth



DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

Lithological units (MOPS) – lateral geometry





DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

Lithological units (MOPS) – vertical geometry





Zone 5





DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

SEISMO-STRATIGRAPHIC MODEL



3052 1D Vs profiles (grid step equal 0.001°):

- 10 models based on INGV data
- 1 model based on OGS data

GEOLOGICAL MODEL



• Indagini geognostiche (sondaggi, CPTu e CPT)





DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

SEISMO-STRATIGRAPHIC MODEL

GEOLOGICAL MODEL



Integration of seismo-stratigraphic (i.e. H, Vs, Vp, ρ) and geological informations (i.e. H, lithological characteristics of layers)



DEFINITION OF SEISMO-STRATIGRAPHIC, GEOLOGIC AND GEOTECHNICAL MODEL

Seismo- stratigraphic model					Geological-geotechnical model				
N°	H(m)	V _P (m/s)	Vs(m/s)	ρ (kg/m³)	н	H(%)	Lithological characteristics	PI	φ(°)
1	1 6.5 368.2	260.2	129.0	2100	2	0.31	Fill	-	35
1		150.9	2100	4.5	0.69	Sandy silt	10	-	
2	2 11.3 551.0	EE1 0	226.2	2100	3.8	0.34	Sandy silt	10	-
2		551.0			7.5	0.66	Clay	55	-
2	3 23.5 570.9	E 70 0	222 E	2100	1.5	0.06	Sand	-	33
5		252.5	2100	22	0.94	Clay	30	-	
4	23.5	874.4	354.1	2100	23.5	1	Clay	30	-
5	35.7	879.0	362.4	2100	35.7	1	Clay	30	-
6	123.3	1132.4	458.9	2100	123.3	1	Clay	30	-
7	bedrock	2010	800	2100	-				

Integration of seismostratigraphic (i.e. H, Vs, Vp, ρ) and geological model geotechnical informations (i.e. H, lithological characteristics of layers) with geotechnical parameters (PI, ϕ ')

Calibration of degradation and damping ratio curves using Darendeli (2001) model based on laboratory tests (i.e. resonant column) performed in Cavezzo municipality





DEFINITION OF REFERENCE SEISMIC INPUT

RP (y)	ag (g)	F0 (-)	Tc* (s)	Μ
475	0.151	2.588	0.270	6.05
975	0.202	2.535	0.276	6.21
2475	0.290	2.436	0.291	6.46

M by using an *ad-hoc* study conducted in Pavia starting from seismic hazard computed for the new seismic hazard map for Italy

ASCONA (in-house code) – Selection and scaling of natural ground motions recorded at flat topography rock outcrop locations considering the event with Tr = 475/975/2475 years





1D LOCAL SITE RESPONSE ANALYSES (SHOWN FOR T_R=475 YEARS)



w_acc_j= $1/7 \rightarrow$ (same weight for 7 accelerograms) wmodk = 0.05 for 10 models INGV; 0.5 for OGS model

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calculated within the Inter-Institutional Agreement



MICROZONATION FOR LIQUEFACTION POTENTIAL AT CAVEZZO

Summary of data and parameters:

- 444 CPT/CPTU: 376 CPT + 68 CPTU. Data from <u>mechanical CPT with</u> <u>correction</u> (e.g. Facciorusso et al., 2017)
- M equal to 6.05 defined by ad-hoc evaluation (for Tr=475 y)
- a_{max} values from ground response analysis for ~3000 points
- Water table: campaign of measures executed by UNIPV & EUCENTRE to identify the position of water table in different seasons



MICROZONATION FOR LIQUEFACTION POTENTIAL AT CAVEZZO

Computation of factor of safety against liquefaction triggering:





MICROZONATION FOR LIQUEFACTION POTENTIAL AT CAVEZZO

CRR Computation approach using in-situ test methods:





MICROZONATION FOR LIQUEFACTION POTENTIAL AT CAVEZZO

CSR Computation:

$$CSR = \frac{\tau_{c}}{\sigma'_{v0}}$$

$$\tau_{c} = 0.65 \cdot \tau_{\max}$$

$$\tau_{c} = 0.65 \cdot \frac{a_{\max}}{g} \cdot \sigma_{v0} \cdot r_{d}$$

σ_v-σ'_v total and effective vertical stresses
 τ_{mox} maximum shear stress at any depth
 a_{mox} horizontal peak ground acceleration
 r_d stress reduction coefficient

CSR maybe calculated:

✓ By using peak surface accelerations from ground response analyses and closed-form equations for rd,
 ✓ By extracting directly τ_{max} profile from ground response analyses



MICROZONATION FOR LIQUEFACTION POTENTIAL AT CAVEZZO

Method of assessment: CPT-based





MICROZONATION FOR LIQUEFACTION POTENTIAL AT CAVEZZO

Method of assessment: CPT-based

CSR from PGA distribution and closed form rd

CSR from site response analysis (mean stress)





TO USE OR NOT TO USE VS-BASED METHODS IN TRIGGERING ASSESSMENTS?

- Advantages using Vs-based methods
- Disadvantages / Concerns when using Vs
- Possible to measure when penetration test may be unreliable (soils that hard to sample).
- Can also be performed on small laboratory specimens, allow direct comparisons between laboratory field behavior.
- Directly related to small strain shear modulus
- Can be measured by spectral analysis of surface waves

- Lack of physical sample for identifying non liquefiable clayey soils (not applicable if we have a detailed geologic model as of Cavezzo).
- Thin Vs strata may not be detected if the measurement interval is too large (consequences of thin layers are also little).
- Small-strain measurements are highly sensitive to weak inter-particle bonding that is eliminated at medium- high strains.
- Very high Vs values due to matric suction in partially saturated soils above the phreatic surface.



VS-based method



Case study for a comparison of one vertical computed according to CPT, VS-CPT, and VS-Seismo-Stratigraphy procedures







Case study for a comparison of one vertical computed according to CPT, VS-SCPT, and VS-Seismo-Stratigraphy procedures









CSR from site response analysis (mean stress)



- VS-based evaluation of liquefaction triggering shows still good agreement with the manifestations of liquefaction during 2012 6.1 Emilia event.
- As compared to CPT counterpart, less conservative values are present in terms of LSI (hence factor of safety coefficients)



MONTE CARLO SIMULATIONS were carried out to reduce the epistemic uncertainty imposed for uncertain parameters:

- i. Water table depth (using the variability measured over the years)
- ii. Threshold value of Ic to distinguish clay-like response from sand-like response (using vector of [2.4 2.5 2.6] according to B&I)

MC simulations are combined with event specific PGA at surface instead of using the average for 7 events









REDUCING THE UNCERTAINTY: MONTE CARLO SIMULATIONS

Method of assessment: CPT-based



REDUCING THE UNCERTAINTY: MONTE CARLO SIMULATIONS

Method of assessment: CPT







Selected well documented case: Uccivello School Site





Step 1. CPT-based characterization of first 20 meters and combination of this data with Available geophysical, geological, and geotechnical data.



gravely sand to dense sand	clean sand to sifty sand	sifty sand to sandy silt	clayey sit to sity clay	sity day to day	clav

z (m)	V_{S}	Definition
from-to	(m/s)	
0.0-2.0	145	Dry silty sand
2.0-6.5	174	Clayey silt
6.5-9.0	145	Silty sand
9.0-16.25	205	Silty clay
16.25-25.75	216	Silty clay
25.75-40.0	216	Clay
40.0-58.0	341	Clay
58.0-89.5	353	Clay
89.5-199.5	416	Clay
199.5-∞	800	Half-space



Step 2: For each component of the logic tree, we follow the procedure to obtain CRR – Number of equivalent cycles from CPT data





Step 3: Once CRR-N curve is obtained for all the methods inside the logic tree, we define the weighted average according to the weights associated.



Step 4: Using a fully-coupled constitutive model (i.e. shown for PM4 sand), we model an undrained cyclic shear test on a single element on a numerical platform (i.e. carried out using FLAC2D)



Boundary Conditions:

- Vertical and horizontal restraints at base
- In-situ geostatic stresses
- Additional cyclic shear stress at top (applied strain controlled)

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Repeated for different CSR values

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Step 5: Model is calibrated until there is a satisfactory agreement between the target CRR-N curve (Criterion of liquefaction: 6% double amplitude shear strain)



Number of cycles



Step 6: By using the calibrated model for saturated sandy zones and hysteretic model for the rest, seismic waves are propagated through numerical means in a soil column.





Agreement with observed manifestations of liquefaction (high ratios of ru,max.

Ongoing work, shown for only one ground motion...

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NUMERICAL ASSESSMENT OF LIQUEFACTION AND SETTLEMENTS



NUMERICAL ASSESSMENT OF LIQUEFACTION AND SETTLEMENTS





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